40 Energy Systems

#### 2.5 EXERGY

The theory of exergy aims to develop an integrated analysis method that includes the first two laws of thermodynamics, and thus allows us to take into account both the amount of energy put into play and its quality, which the first principle cannot do. Its interest is that it provides a quite rigorous thermodynamic framework to quantify the quality of any system, open or closed, in steady-state or not.

The first to introduce this concept was G. Gouy, who late in the nineteenth century, defined the concept of usable energy, now renamed exergy by many authors. The basic idea is to consider that a thermodynamic system interacts with what is called its environment, which behaves like an infinite reservoir at constant temperature and pressure and fixed composition, meaning that the system studied is small enough not to disturb this environment.

The environment will serve for example as a cold source for a power cycle, or hot source for a refrigeration cycle. Since the environment state determines the performance of the system studied, the theory of exergy can take it into account implicitly.

In the framework of this book, we content ourselves with a brief and steady state (and therefore not rigorous) presentation of exergy analysis. Readers wishing to engage further in this direction will find especially in the work of L. Borel and D. Favrat (2005) a comprehensive theory of the subject.

The practical implementation of the concepts introduced here will be presented in section 7.2.2 which explains how to build exergy balances.

# 2.5.1 PRESENTATION OF EXERGY FOR A MONOTHERM OPEN SYSTEM IN STEADY STATE

Let us consider a steady-state open system exchanging energy with its environment assumed to be at uniform temperature  $T_0$  (Figure 2.5.1).

The energy equation is given by the first law (2.3.6):

$$\Delta h + \Delta K = \tau + Q$$

and the entropy production by the second law:

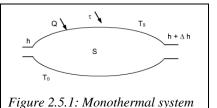
$$Q + \pi = T_0 \Delta s$$
  $0 \le \pi$ 

$$\Delta s - \frac{Q}{T_0} \ge 0$$

If we assume that all the heat is provided by the environment, and  $T_0$  is the temperature at the boundary of the open system, Q can be eliminated by combining these two equations, giving:

$$\tau = \Delta h + \Delta K - Q \ge \Delta h + \Delta K - T_0 \Delta s$$

$$-\tau \leq -(\Delta h + \Delta K - T_0 \Delta s)$$



In most cases, we can neglect the kinetic energy changes  $\Delta K$ .

For an open system, function  $\mathbf{x_h} = \mathbf{h} - \mathbf{T_{0}s}$  is usually called **exergy**.

# The maximum work that the system can provide is equal to the reduction of its exergy.

In practice, the actual work provided by the system may be less than this value. We define the exergy loss  $\Delta x_{hi}$  as being equal to the difference between the maximum work possible and the actual work provided:

$$\Delta x_{hi} = (-\tau_{max}) - (-\tau_{real}) = (-\Delta x_h) - (-\tau_{real})$$

$$\Delta x_{hi} = T_0 \Delta s - \Delta h - (-\tau_{real})$$

If the process is furthermore adiabatic:

$$(-\tau_{real}) = -\Delta h$$
 et  $\Delta x_{hi} = -\Delta x_h + \Delta h = T_0 \Delta s$ 

Note that this description is not entirely satisfactory because it is clear that for a closed system, the definition of exergy leads us to consider function  $\mathbf{u}$  -  $\mathbf{T}_0\mathbf{s}$ , so that there is some ambiguity.

Therefore L. Borel was moved to speak rather of co-enthalpy and co-energy.

Given the success of the concept of usable energy introduced by G. Gouy, largely used in the Anglo-Saxon world under the name availability, we could also speak of usable enthalpy or usable energy, depending on whether one considers an open or closed system.

Beyond the question of vocabulary, we note that this new

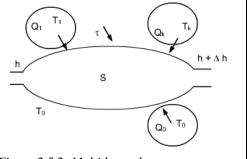


Figure 2.5.2: Multithermal open system

function is characterized by the introduction of a variable outside the system,  $T_0$ . As such, it is no longer a state function in the strict sense. However, as  $T_0$  is generally assumed to be constant, the exergy is sometimes presented as a linear combination of state functions.

Another remark can be made at this stage: exergy allows us, through the product of entropy by the environment temperature, to express variations of this state function in one dimension and by orders of magnitude similar to those usually encountered by the engineer in energy calculations, which facilitates the understanding of physical phenomena.

Finally, as discussed below, by this artifice we manage to formally eliminate from the calculations the "free" heat source represented by the environment.

Exergy can be used to evaluate the quality of the changes made in real processes, compared to ideal reversible ones.

42 Energy Systems

# 2.5.2 MULTITHERMAL OPEN STEADY-STATE SYSTEM

Let us consider an open system that exchanges work and heat with n external sources at constant temperatures  $T_k$ , and environment taken at temperature  $T_0$  (Figure 2.5.2).

Applying the first law gives here:

$$\Delta h + \Delta K = \tau + Q_0 + \sum_{k=1}^n Q_k$$

and entropy production is given by the second law:

$$\Delta s = \frac{Q_0}{T_0} + \sum_{k=1}^{n} \frac{Q_k}{T_k} + \Delta s_i$$

 $\Delta s_i$ , positive or zero, being the entropy generation. Neglecting kinetic energy changes, we get:

$$\Delta x_h = \Delta (h - T_0 s) = \tau + \sum_{k=1}^{n} \left( 1 - \frac{T_0}{T_k} \right) Q_k - T_0 \Delta s_i$$
 (2.5.1)

or, with  $\Delta x_{hi} = T_0 \Delta s_i \ge 0$ :

$$\tau + \sum_{k=1}^{n} \left( 1 - \frac{T_0}{T_k} \right) Q_k - \Delta x_h - \Delta x_{hi} = 0$$
 (2.5.2)

 $\tau$  is the work received by the system,  $\Delta x_h$  the exergy variation of the fluid passing through it, and  $\Delta x_{hi}$  the exergy dissipation resulting from irreversibilities. We call the Carnot factor the term  $\theta=1$ -  $T_0/T.$  This is the factor by which we must multiply a quantity Q of heat available at temperature T to obtain the value of its exergy. If we call useful heat (or heat-exergy as per Borel) the quantity  $x_q=\theta$  Q, equation (2.5.2) gets the form:

$$\tau + \sum_{k=1}^{n} \theta_k \, \boldsymbol{Q}_k \, - \Delta \boldsymbol{x}_h - \Delta \boldsymbol{x}_{hi} \, = 0$$

$$-\tau = \sum_{k=1}^{n} x_{q_k} - \Delta x_h - \Delta x_{hi}$$
 (2.5.3)

The maximum engine work that can provide an open system is equal to the sum of exergy-heats of the sources with which it exchanges heat, less the change in exergy of the fluid passing through it and the exergy destroyed because of irreversibilities.

#### 2.5.3 APPLICATION TO A TWO-SOURCE REVERSIBLE MACHINE

Let us consider a cyclic two-source reversible machine operating between a source at temperature  $T_1$  and environment at temperature  $T_0$ .

On a cycle, we have:  $\Delta x_h = 0$  ,  $\Delta x_{hi} = 0 \;$  (reversible machine).

Applying formula (2.5.3) gives: 
$$-\tau = x_{q_1} = \left(1 - \frac{T_0}{T_1}\right) Q_1$$

If  $T_0 < T_1$ ,  $x_{q1} \ge 0$ ; the system receives heat-exergy from the hot source at  $T_1$ , and converts it into work  $\tau$ .

Here we find the Carnot cycle, whose effectiveness is  $\eta = 1 - \frac{T_0}{T_1}$ .

If  $T_0 > T_1$ ,  $x_{q1} \le 0$ ; the system gives heat-exergy to the cold source at  $T_1$ , taking it from the hot source at  $T_0$ . For this, we must provide work  $\tau$ . It is then a refrigeration cycle or heat pump.

# 2.5.4 SPECIAL CASE: HEAT EXCHANGE WITHOUT WORK PRODUCTION

In the special case where there is no work produced, equation (2.5.3) can be rewritten as:

$$\Delta x_{hi} = \sum_{k=1}^{n} x_{q_k} - \Delta x_h$$
 (2.5.4)

The exergy destroyed in the process is equal to the sum of the exergy-heats of the various sources, minus the change in exergy of the fluid passing through the system.

# 2.5.5 EXERGY EFFICIENCY

Exergy allows us to rigorously define the concept of system efficiency, and therefore to quantify its thermodynamic quality: it is the ratio of exergy uses to exergy resources. It is always between 0 and 1, and so is much greater than the irreversibilities. Exergy resources is the sum of all exergies provided to the cycle from outside. Exergy uses represents the net balance of the cycle, i.e. the algebraic sum of exergy produced and consumed within it.

$$\eta = \frac{\text{exergy uses}}{\text{exergy resources}} \tag{2.5.5}$$

# 2.6 REPRESENTATION OF SUBSTANCE PROPERTIES

# 2.6.1 SOLID, LIQUID, GASEOUS PHASES

A pure substance can be in one or more of three phases: solid, liquid or gaseous. Solid state may even include several varieties known as allotropic, which reflect the different possible arrangements of the crystal lattice.

These three phases are distinguished, at the microscopic level, by the intensity of intermolecular forces. In the solid state, they allow atoms only to oscillate around fixed positions randomly distributed or ordered (crystal).